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|   |  |                               |   |
|---|--|-------------------------------|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> |  | <b>Attorney Docket No.</b>    | <b>NONY 3.0-015</b>   |
|   |  | <b>First Inventor</b>         | Jean-Louis Gueret   |
|   |  | <b>Title</b>                  | <b>APPLICATOR FOR APPLYING A SUBSTANCE<br/>ONTO KERATINOUS FIBERS</b> |
|   |  | <b>Express Mail Label No.</b> | EV 312607207 US   |

|   |  |   |  |
|---|--|---|--|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents.</small>  |  | <b>ADDRESS TO:</b> MS Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450  |  |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/><i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification      [Total Pages <b>21</b>]      <small>(preferred arrangement set forth below)</small></p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)      [Total Sheets <b>3</b>]      <small>(for continuation/divisional with Box 18 completed)</small></p> <p>5. Oath or Declaration      [Total Sheets <b>  </b>]      <small>i. <input type="checkbox"/> Newly executed (original or copy)</small><br/><small>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))</small></p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/><small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> |  | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or      ii. <input type="checkbox"/> Paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |  |
| <b>ACCOMPANYING APPLICATION PARTS</b>   |  |   |  |
| <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement      <small>(when there is an assignee)</small>      <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449      <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)      <small>(Should be specifically itemized)</small></p> <p>15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)      <small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).</p> <p>17. <input type="checkbox"/> Other: _____</p>  |  |   |  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

|  |           |   |  |
|--|-----------|---|--|
| <b>19. CORRESPONDENCE ADDRESS</b>                                  |           |   |  |
| <input checked="" type="checkbox"/> Customer Number: <b>000530</b> |           | <input type="checkbox"/> Correspondence address below |  |
| Name   |           |   |  |
| Address  |           |   |  |
| City   | State     | Zip Code  |  |
| Country  | Telephone | Fax   |  |

|                   |                          |                                   |                             |
|-------------------|--------------------------|-----------------------------------|-----------------------------|
| Name (Print/Type) | <b>Scott S. Servilla</b> | Registration No. (Attorney/Agent) | <b>40,806</b>               |
| Signature         |                          |                                   | Date      November 25, 2003 |

112503  
16138 U.S.PTOUse in lieu of PTO/SB/17 (08-2003)  
(Form updated to reflect FY 2004 fees effective 10/1/03)

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 1,022.00)

| Complete if Known    |                       |
|----------------------|-----------------------|
| Application Number   | Not Yet Assigned      |
| Filing Date          | Concurrently Herewith |
| First Named Inventor | Jean-Louis Gueret     |
| Examiner Name        | Not Yet Assigned      |
| Art Unit             | N/A                   |
| Attorney Docket No.  | NONY 3.0-015          |

**METHOD OF PAYMENT (check all that apply)** Check  Credit Card  Money Order  Other  None Deposit Account:Deposit Account Number **12-1095**Deposit Account Name **Lerner, David, Littenberg,  
Krumholz & Mentlik, LLP**

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|----------|-----------------------|----------|--|----------|
| 1051                  | 130      | 2051                  | 65       | Surcharge – late filing fee or oath  |          |
| 1052                  | 50       | 2052                  | 25       | Surcharge – late provisional filing fee or cover sheet.                    |          |
| 1053                  | 130      | 1053                  | 130      | Non-English specification  |          |
| 1812                  | 2,520    | 1812                  | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804                  | 920*     | 1804                  | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                  | 1,840*   | 1805                  | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251                  | 110      | 2251                  | 55       | Extension for reply within first month                                     |          |
| 1252                  | 420      | 2252                  | 210      | Extension for reply within second month                                    |          |
| 1253                  | 950      | 2253                  | 475      | Extension for reply within third month                                     |          |
| 1254                  | 1,480    | 2254                  | 740      | Extension for reply within fourth month                                    |          |
| 1255                  | 2,010    | 2255                  | 1,005    | Extension for reply within fifth month                                     |          |
| 1401                  | 330      | 2401                  | 165      | Notice of Appeal   |          |
| 1402                  | 330      | 2402                  | 165      | Filing a brief in support of an appeal                                     |          |
| 1403                  | 290      | 2403                  | 145      | Request for oral hearing   |          |
| 1451                  | 1,510    | 1451                  | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452                  | 110      | 2452                  | 55       | Petition to revive – unavoidable   |          |
| 1453                  | 1,330    | 2453                  | 665      | Petition to revive - unintentional   |          |
| 1501                  | 1,330    | 2501                  | 665      | Utility issue fee (or reissue)   |          |
| 1502                  | 480      | 2502                  | 240      | Design issue fee   |          |
| 1503                  | 640      | 2503                  | 320      | Plant issue fee  |          |
| 1460                  | 130      | 1460                  | 130      | Petitions to the Commissioner  |          |
| 1807                  | 50       | 1807                  | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                  | 180      | 1806                  | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021                  | 40       | 8021                  | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809                  | 770      | 2809                  | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810                  | 770      | 2810                  | 385      | For each additional invention to be examined (37CFR 1.129(b))              |          |
| 1801                  | 770      | 2801                  | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802                  | 900      | 1802                  | 900      | Request for expedited examination of a design application                  |          |

**SUBTOTAL (1) (\$ 770.00)****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Extra Claims         | Fee from below         | Fee Paid |
|----------------------|------------------------|----------|
| Total Claims 34      | -20** = 14 x 18.00 =   | 252.00   |
| Independent Claims 1 | -3** = _____ x _____ = | 0.00     |
| Multiple Dependent   | _____ =                |          |

**SUBTOTAL (2) (\$ 252.00)**

\*\* or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$ 0.00)**

| SUBMITTED BY      |                   | (Complete if applicable)             |                   |                          |
|-------------------|-------------------|--------------------------------------|-------------------|--------------------------|
| Name (Print/Type) | Scott S. Servilla | Registration No.<br>(Attorney/Agent) | 40,806            | Telephone (908) 518-6388 |
| Signature         | <i>SSS</i>        | Date                                 | November 25, 2003 |                          |